# Pax Populi? An Analysis of the Conflict Resolution Potential of Referendums on Self-Determination

# **Supplementary Material**

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#### 1 Global Analysis

## 1.1 Sample Definition

The global analysis includes all self-determination disputes between 1946 and 2012 which i) are included in the Self-Determination Movements (SDM) dataset (Sambanis et al. 2018) and ii) are also included in the Ethnic Power Relations dataset (EPR) (Cederman et al. 2010; Wimmer et al. 2009; Vogt et al. 2015). As stated in the paper, the advantage of this approach is that I gain access to EPR's large library of data on pertinent control variables. However, the cost is that certain self-determination disputes cannot be included in the analysis. On the one hand, that is because neither SDM nor EPR provide data on groups engaged in anti-colonial liberation struggles. On the other hand, that is because EPR does not include some of the self-determination groups included in SDM. Most importantly, EPR's definition of ethnicity includes linguistic, religious and racial groups, but not regionally defined groups. 86 of the 464 separatist groups coded in SDM represent groups whose identity derives from their region, such as the Texans in the U.S. or the Lombards in Italy. Another 39 separatist groups cannot be matched because EPR does not include groups in overseas territories even if their relationships with the metropole no longer qualify as colonial (e.g., the Guadeloupeans), groups in micro-states with a population of less than 500,000 (e.g., the Nevisians in St. Kitts and Nevis), and groups classified as 'tribes' or 'clans' rather than 'ethnicities' (e.g., the Isaags in Somalia). Finally, there are 39 cases where EPR does not include a separatist group identified in SDM even though it meets the criteria for inclusion in EPR (e.g., the Talysh in Azerbaijan or the Sidama in Ethiopia).

Overall, I am able to include 290 of the 464 separatist groups identified by Sambanis et al. (2018), or 63%. About half of all SDM groups (225/464) correspond directly to a group in EPR. In another 65 cases, SDM and EPR aggregate groups differently, but a match can still be established. Typically, this scenario emerges when EPR codes an umbrella group of which SDM codes one large or several smaller sub-groups as separatist (52 cases). For example, while EPR codes a single umbrella indigenous group in the U.S., SDM codes several different indigenous groups. In these cases, I code nonviolent separatist activity if at least one constituent group made a nonviolent claim and no other group made a violent claim. I code violent separatist activity if at least one of the constituent groups was involved in separatist violence. In 13 cases, EPR codes two or more sub-groups of a larger separatist group. For example, SDM codes a single Anglophone group in Cameroon whereas EPR distinguishes between the northwestern and the southwestern Anglophones. In these cases, I establish start and end dates of violent and nonviolent separatist activity separately for each constituent group based on case evidence. For information on how each of the 464 separatist groups identified by Sambanis et al. (2018) is handled, refer to the file "SDM2EPR.xls", which is included with the replication materials.

#### 1.2 Data on Self-Determination Referendums

Table S1 lists all 106 self-determination referendums included in the global analysis. The column "EPR group(s)" provides information on what groups a referendum is associated with in my data. Note that a small number of referendums affected more than one self-determination dispute and are therefore associated with multiple groups. For example, the 1991 referendum on a new Union Treaty for the Soviet Union affected the status of multiple separatist groups, including the Armenians, Georgians, and all three Baltic nations (Estonians, Latvians, and Lithuanians), among others. The column "Agreed" shows whether a referendum is coded as mutually agreed (1) or unilateral (0). The column "Coding notes" includes the coding justifications while the column "Sources" gives the sources. Finally, note that one of the referendums occurred before 1946, the first year included in the global analysis: the 1945 referendum on the merger of Inner Mongolia with Mongolia. This referendum is partially included because the referendum dummies used in the paper are coded 1 both in the year of the referendum and the following year, and therefore also in 1946.

*Note:* In addition to the 106 self-determination referendums included in the analysis, there were another 156 self-determination referendums held between 1946 and 2012 which are not part of the statistical analysis and, therefore, also not included in the table below. This includes a total of 101 self-determination referendums which dealt with the status of one or more colonies. As stated in the paper, self-determination referendums in colonial contexts cannot be included in the global statistical analysis because neither SDM nor EPR provide data on groups engaged in anti-colonial liberation struggles. Furthermore, a total of 55 noncolonial self-determination referendums cannot be included because the corresponding separatist groups are not represented in EPR (see the paper and section 1.1 for details).

Table S1: List of self-determination referendums included in the global analysis

				Α.		
				A		
				g		
				r		
				e		
				e		Sources (other than
				d		Mendez & Germann
Country	EPR group(s)	Date	Issue		Coding notes	2018)
					This referendum was initiated by the self-proclaimed authorities of China's Inner	
					Mongols (or, Southern Mongols) and held without the consent of the Chinese	
			Merger with		government. The Chinese communists appealed to the Soviet Union to block	Liu (2006: 365);
China	Mongolians	?/?/1945	Mongolia	0	implementation of the referendum.	Minahan (2002: 1782)
					Initiated by the Nagaland National Council (NNC), a Naga separatist outfit. This	
India	Naga	5/16/1951	Independence	0	unofficial vote was not recognized by the Indian government.	c2d (2011)
		0,10,10				Bogdanor (1981);
	Catholics In N.		Merger with		Initiated by the British government over objections of all Northern Irish nationalist	Tierney (2012);
UK	Ireland	3/9/1973	Ireland	0	parties and Republic of Ireland. Boycotted by Catholics in Northern Ireland.	Wheatley (2012)
CIL	Tretuna	3/3/13/13	Helana	Ů	Referendum on constitution proclaiming independence of Northern Cyprus in	(2012)
					aftermath of Turkey's invasion in 1974. Not recognized by (Greek) Cyprus	
Cyprus	Turks	6/8/1975	Independence	0	government.	c2d (2011)
Сургиз	Turks	0/0/17/3	independence	0	Philippines and Moro rebels signed peace agreement in 1976 that promised the	C2d (2011)
					Moros far-reaching autonomy. However, the ensuing implementation talks broke	
					down. Philippines central government proceeded unilaterally and organized a	
					referendum in Mindanao on an autonomy arrangement widely described as	DADM musicate
					"hollow" and "cosmetic". The main Moro separatist outfit, MNLF, was opposed to	DADM project; McKenna (1998: 168);
					the referendum (also because of resettlement policies which had resulted in the	
					Moros no longer constituting a majority in several parts of Mindanao) and	Santos (2005); Tuminez (2007: 80);
DI::1::	M	4/17/1077	A	0	boycotted the vote.	
Philippines	Moro	4/17/1977	Autonomy	U		Walter (2009: 183f)
					Turnhalle was an attempt by apartheid South Africa to achieve Namibian	
					independence under its own terms. The referendum was preceded by	
					"negotiations" involving representatives from various groups in Namibia that were	
					all handpicked by the South African government. The main Namibian separatist	
					outfit – SWAPO – was not invited and the eventual "agreement" would have	
					perpetuated white rule in Namibia. The Turnhalle plan was subjected to a whites-	Banks et al. (1998:
					only referendum in Namibia. The referendum was widely condemned, including	672); c2d (2011);
South Africa	Blacks	5/18/1977	Turnhalle plan	0	by SWAPO.	Saunders (2008)
					The 1979 devolution referendums were called by the government in London to	
					prevent a backbencher revolt from British unionists. The referendums were	
					initially opposed by many separatists in Scotland and Wales in part because of the	
					high bar that needed to be crossed for approval (40% of eligible voters needed to	Rourke et al. (1992:
					approve). Nevertheless, most separatists ultimately came to terms with the	121-122); Thompson
UK	Scots	3/1/1979	Devolution	1	referendum and campaigned for yes votes. The fact that key separatists took part in	1989: 192

					the campaign suggests implicit agreement.	
UK	Welsh	3/1/1979	Devolution	1	See Scotland (1979) above.	
Spain	Basques	10/25/1979	Autonomy Statute	1	After Franco's death in 1975, Spain embarked on rapid democratization and decentralization. Spain's 1978 constitution promised autonomy to the Basques and the Basques' main separatist outfit, the Partido Nacionalista Vasco (PNV), subsequently took the lead in negotiating an autonomy statute with Madrid. Both parties agreed on an autonomy statute in 1979 and in accordance with the constitution, the autonomy statute was subsequently subjected to a mandatory regional referendum in the Basque Country. The referendum is coded as mutually agreed because it constituted a direct outflow of negotiations between the central state and key representatives of the separatist group.	Keating & Wilson (2009: 539); MAR; Minahan (2002: 287)
Spain	Catalans	10/25/1979	Autonomy Statute	1	The Catalan road to autonomy evolved similarly to the Basques' (see above).  Catalan legislators prepared a draft statute that was approved by the Cortes in 1979. In line with the 1978 constitution, the statute was subsequently subjected to a mandatory regional referendum in Catalonia. The referendum is coded as mutually agreed because it constituted a direct outflow of negotiations between the central state and key representatives of the separatist group.	Keating & Wilson (2009: 539); MAR; Thompson (1989: 200f)
Canada	French speakers	5/20/1980	Independence	1	Initiated by Quebec's regional government (led by main Quebecois separatist outfit, PQ). Right to hold referendum was uncontested by central government, which campaigned for a no vote.	LeDuc (2003: 104); Leslie (1999:136); Rourke et al. (1992); Smith (2013)
Spain	Galician	12/21/1980	Autonomy Statute	1	The Galician road to autonomy evolved similarly to the Basques' and the Catalans', but negotiations on the autonomy statute took longer because of internal disagreements between Galicia's Socialists and nationalists, who wanted a more expansive autonmy solution, and the region's Conservatives, who wanted more limited autonomy. In line with the 1978 constitution, the statute was subsequently subjected to a mandatory regional referendum in Galicia. The referendum is coded as mutually agreed because it constituted a direct outflow of negotiations between the central state and key representatives of the separatist group.	Keating & Wilson (2009: 539); Thompson (1989: 202)
Canada	Aboriginal peoples	4/14/1982	Division of Northwest Territories	1	Inuit Tapirisat of Canada (ITC), an Inuit separatist outfit, began campaigning for the division of the Northwest Territories (NWT) in the mid-1970s. In April 1982, NWT government agreed to hold a referendum on the division of NWT. The referendum was uncontested by Canada's central government.	Légaré (1998: 274f); Minahan (2002: 797)
Philippines	Moro	11/16/1989	Autonomy	0	Philippines government promised to finally make good on Tripoli agreement and give real autonomy to Moros in Mindanao (see 1977 referendum above). However, like in 1977, the central government wanted to subject the transfer of autonomy to a province-by-province referendum. The main Moro separatist outfits of the time – MNLF and MILF – both rejected this idea due to relocation schemes that had resulted in the Moros losing majority status in many provinces. The referendum was still held, over the objections of Moro separatists.	McKenna (1998: 246ff); Santos (2005); Tuminez (2007: 80)
Moldova	Russian speakers	12/3/1989	Separation from Moldovan SSR	0	In Moldova's Transnistria region, several local referendums were held in the late 1989/early 1990 on separation from what was then the Moldovan union republic.	c2d (2011); Neukirch (2001); Sato (2009)

					All were held without the agreement of the Moldovan authorities and followed by unilateral proclamation of Pridnestrovian Moldavian Soviet Socialist Republic in September 1990.	
Philippines	Indigenous	1/30/1990	Autonomy	0	Referendum on an autonomy regime in Cordillera. The referendum was preceded by unsuccessful negotiations between the main Cordilleran (Igorot) separatist outfits, the CPLA and the CPDF, and the central government. The Philippine government proceeded with a referendum despite the lack of agreement from the separatists.	Ferrer (2005)
Namibia	Baster	5/?/1990	Independence	0	Referendum in Rehoboth held in aftermath of unilateral independence declaration. Held without agreement of Namibian government, which did not recognize the referendum outcome.	MAR; Minahan (2002: 293)
Croatia	Serbs	8/19/1990	Autonomy	0	Autonomy referendum organized by the self-proclaimed Serbian National Council in Kraijna region. Croatian authorities declared the referendum illegal.	Peters (1995: 99)
Yugoslavia	Slovenes	12/23/1990	Independence	0	Initiated by Slovenian parliament and declared illegal by Yugoslav authorities.	Peters (1995: 93)
Ukraine	Russians	1/20/1991	Restoration of the Crimean ASSR	1	Drive for restoration of Crimean autonomy was led by local Communist party. Referendum was initiated by regional authorities in Crimea but uncontested by central authorities in Kiev/Moscow. Kiev's official position was that "Crimeans were entitled to restoration of their autonomy" (Sochanyk 1994: 51).	Solchanyk (1994); Sasse (2001)
USSR	Lithuanians	2/9/1991	Independence	0	Initiated by Lithuanian parliament and declared illegal by Soviet authorities.	Peters (1995: 142)
USSR	Estonians	2/24/1991	Independence	0	Attempt by Estonia's Moscow-oriented Communist Party to de-legitimize the Estonian independence referendum. This 'alternative' referendum was held in the Russian-populated north-east of the republic with goal of signalling opposition to independence. Held without agreement of Estonian separatists and Estonia's republican government.	
USSR	Estonians	3/3/1991	Independence	0	Initiated by Estonian parliament and declared illegal by Soviet authorities.	Peters (1995: 142)
USSR	Latvians	3/3/1991	Independence	0	Initiated by Latvian parliament and declared illegal by Soviet authorities.	Peters (1995: 144)
USSR	Kirghis	3/17/1991	Sovereignty	1	Three Soviet republics – Kyrgyzstan, Ukraine, and Uzbekistan – attached proposals reaffirming the sovereignty of their respective republics to Gorbachev's referendum on the Union Treaty (i.e., preservation of USSR). While the Soviet leadership was not exactly wild about these extra questions, it also did not reject them outright, with the chairman of the referendum commission stating that "republic parliaments should be allowed to decide whether to "include a concrete question of the given region."	Brady & Kaplan: (1994); CSCE (1992: 24)
USSR	Ukrainians	3/17/1991	Sovereignty	1	See referendum in Kyrgyzstan.	
USSR	Uzbeks	3/17/1991	Sovereignty	1	See referendum in Kyrgyzstan.	
	Armenians; Estonians; Georgians; Latvians;				This was a union-wide referendum on the preservation of the Soviet Union – effectively an attempt by the Soviet authorities to de-legitimize and halt the secessionist tendencies in various parts of the union. The vanguard secessionists in the Baltics, Armenia, Georgia and Moldova all adopted resolutions against the	Brady & Kaplan (1994: 187); Laponce (2010);
USSR	Lithuanians;	3/17/1991	Union Treaty	0	referendum, refused to set up referendum commissions, and boycotted the	Peters (1995: 211)

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	Moldovans;				referendum. However, in order to showcase their willingness to break away from	
	Abkhaz;				Georgia, Abkhazia and South Ossetia still proceeded with the vote, thus defying	
	Ossetes				the Georgian authorities.	
					Ukraine added a question on Ukrainian sovereignty to the Union Treaty	
					referendum (see above). In Galicia (a region in Western Ukraine), the local	
					authorities went one step further and added a third question on Ukrainian	Beissinger (2002: 197);
USSR	Ukrainians	3/17/1991	Independence	0	independence. This was rejected as illegal by the central authorities.	CSCE (1992: 22-23)
						c2d (2011); Peters
USSR	Georgians	3/31/1991	Independence	0	Initiated by Georgian parliament but not recognized by Soviet authorities.	(1995)
					Secession referendum called by self-proclaimed Serbian authorities in Krajina in	
			Merger with		response to Croatia's intention to hold a vote on independence from Yugoslavia.	
Croatia	Serbs	5/11/1991	Serbia	0	Declared illegal by the Croatian authorities.	Peters (1995: 99)
					Initiated unilaterally by Croatian president, this referendum was swiftly declared	
Yugoslavia	Croats	5/19/1991	Independence	0	void and illegal by the Yugoslav authorities.	Peters (1995: 95)
					Initiated by Macedonian parliament, without consent of Yugoslav authorities. The	c2d (2011); Peters
Yugoslavia	Macedonians	9/8/1991	Independence	0	referendum was boycotted by the local Albanian and Serbian populations.	(1995: 100ff)
					This referendum was held in the aftermath of the August coup, after which it	
					became increasingly clear that the USSR would split up. According to Brady &	
					Kaplan, that Armenia would become independent was "obvious" by the time of	Brady & Kaplan (1994:
					the referendum. Yeltsin was now basically in charge in Moscow, who was more	201); Zaprudnik &
USSR	Armenians	9/21/1991	Independence	1	than happy to grant referendums and independence to the various Soviet republics.	Urban (1997)
					"Unofficial" referendum called by self-proclaimed Kosovar Assembly. Serbian	
					authorities tried to stop the vote, but were largely unsuccessful. The referendum	c2d (2011); MAR;
Yugoslavia	Albanians	9/26/1991	Independence	0	was boycotted by the local Serbian population.	Peters (1995: 105)
					Autonomy referendum in Sandzak region straddling border of Serbia and	
					Montenegro. Organized by local offshoot of the SDA (Bosniak separatist party).	
	Bosniaks/				The Serbian government declared the referendum illegal and Serbian police tried	Minahan (2002: 1645);
Yugoslavia	Muslims	10/25/1991	Autonomy	0	to forcibly close polling stations.	Peters (1995: 108)
					Initiated by Turkmenistan's executive in aftermath of August coup, when	
USSR	Turkmens	10/26/1991	Independence	1	independence was there for the taking (cf. September referendum in Armenia).	c2d (2011)
Bosnia and			Merger with		Organized by self-proclaimed Serbian authorities in Srpska region and held	
Herzegovina	Serbs	11/9/1991	Serbia	0	without consent of Bosnian authorities.	Peters (1995: 113)
_					Initiated by Ukraine's parliament in aftermath of August coup, when independence	
USSR	Ukrainians	12/1/1991	Independence	1	was there for the taking (cf. September referendum in Armenia).	c2d (2011)
			_		This referendum took the form of a second question attached to Ukraine	Batt (2002: 168);
					independence referendum. Question was on formation of an autonomous national	Duplain (1996);
					district. Organized by Berehove's local district council. The account in Sasse	Nahaylo (1999: 408);
					(2001) suggests that the referendum had tacit agreement by the Ukrainian	Sasse (2001: 83);
Ukraine	Hungarians	12/1/1991	Autonomy	1	president, but overall information is limited.	Solchanyk (1994: 65)
·					Chernivtsi oblast's regional council attached a second question on autonomy to	
					Ukraine's independence referendum. Support was strongest among the local	
	Romanians/		Economic		Romanian population. Like the referendum in Berehove, this is an ambiguous	Nahaylo (1999: 408);
Ukraine	Moldovans	12/1/1991	autonomy	1	case, but the attachment of second questions on autonomy seems to have had the	Sasse (2001: 82)

					tacit support of the Ukrainian president.	
					Called by self-proclaimed Gagauz authorities and declared illegal by Moldovan	
Moldova	Gagauz	12/1/1991	Independence	0	authorities.	Peters (1995: 193)
					Called by self-proclaimed Transnistrian authorities and declared illegal by	
Moldova	Russian speakers	12/1/1991	Independence	0	Moldovan authorities.	Peters (1995: 193)
					"Unofficial" referendum called by self-proclaimed Karabakh authorities. Declared	c2d (2011); Peters
Azerbaijan	Armenians	12/10/1991	Independence	0	unconstitutional by Azerbaijani authorities.	(1995: 197f)
					Initiated by Azerbaijan's parliament in aftermath of August coup, when	
USSR	Azerbaijanis	12/29/1991	Independence	1	independence was there for the taking (cf. September referendum in Armenia).	c2d (2011)
					Initiated by Uzbekistan's parliament in aftermath of August coup, when	
USSR	Uzbeks	12/29/1991	Independence	1	independence was there for the taking (cf. September referendum in Armenia).	c2d (2011)
			Separate Balkar		"Unofficial" referendum initiated by separatists. Declared illegal by the authorities	Hahn (2007: 143);
Russia	Balkars	12/29/1991	republic	0	of the Kabardino-Balkaria republic.	Roeder (2007: 130)
					Initiated by Ingush parliament. Question was whether Ingushetia should become a	
			Separation from		separate republic (hence, separation from Chechnya) and whether that republic	
			Chechnya and		should include the Prigorodny raion (part of North Ossetia). Based on the sources I	
			return of		consulted, there was no prior coordination with Chechen representatives, North	Dunlop (1998: 122);
Russia	Ingush	12/?/1991	Prigorodny raion	0	Ossetia, or Moscow.	Tishkov (1997: 171)
					Albanians boycotted Macedonia's independence referendum and instead organized	c2d (2011); Bennett
					their own referendum on autonomy. The Macedonian authorities declared the	(1994); Lund (2005);
Macedonia	Albanians	1/11/1992	Autonomy	0	referendum illegal.	Peters (1995: 103)
			Merger with		Called by unofficial South Ossetian authorities and declared illegal by Georgian	
Georgia	Ossetians (South)	1/19/1992	Russia	0	authorities.	Peters (1995: 211)
					Called by Bosnian parliament in response to EC promise of recognition if	c2d (2011); Brady &
	Bosniaks/				independence was approved in a referendum. Rejected by Yugoslav/Serbian	Kaplan (1994: 209);
Yugoslavia	Muslims	2/29/1992	Independence	0	authorities and boycotted by local Serbian minority.	Peters (1995: 114)
					Unofficial referendum in Presevo Valley on joining bordering Kosovo.	
					Unilaterally initiated by local Albanian leaders in aftermath of Kosovo's vote for	
Yugoslavia	Albanians	3/1/1992	Independence	0	independence.	Petersen (2011: 204f)
					Called by Montenegrin parliament at behest of Milosevic without prior	
					consultation with leaders of Montenegrin independence movement. Largely	
					boycotted by supporters of Montenegrin independence as well as Sandzak	Bender (2009);
Yugoslavia	Montenegrins	3/1/1992	Independence	0	Muslims.	Minahan 2002: (1646)
		0/04/4			Initiated by Tatarstan parliament. Declared unconstitutional by Russian	George (2009: 62ff);
Russia	Tatars	3/21/1992	Sovereignty	0	constitutional court.	Peters (1995: 206f)
					Referendum on unity of republic initiated by Karachay-Cherkess authorities to	
			Division of		avert plan by Yeltsin at partition. Held over protests mainly of Karachay	Comins-Richmond
	Circassians;	2/20/4002	Karachay-		supporters of partition, who among other things objected to referendum question	(2002); Peters (1995:
Russia	Karachai	3/28/1992	Cherkess Republic	0	and boycotted the referendum.	208)
					Referendum on border between Northwest Territories and Nunavut (new state to	
~ .	1		Parker boundary		be created with Inuit majority) that resulted from inclusive negotiations involving	
Canada	Aboriginal peoples	5/4/1992	lines	1	NWT government and key Inuit representatives.	Légaré (1998: 275ff)

					Referendum on creation of an autonomous Kumyk republic within Dagestan. Organized by Tenglik, a Kumyk separatist organization. There seems to have been	
					no prior consultation with Dagestani authorities (or Moscow), but information on	
Russia	Kumyks	7/?/1992	Kumyk republic	0	this referendum is scarce.	MAR
	7		7 1 1 1 1 1		Referendum on constitutional reform project negotiated between federal,	
					provincial, and territorial governments, as well as aboriginal representatives.	
					Charlottetown Accord would have devolved additional powers to Quebec,	
					recognized Quebec as a distinctive society, and increased aboriginal self-	
					government. Quebec separatists signaled intention to hold a referendum on accord,	
	French speakers;		Charlottetown		which they saw as too limited; central government then agreed to a federal	
Canada	Aboriginal peoples	10/26/1992	Accord	1	referendum in all provinces.	LeDuc (2003)
- I					Confirmatory referendum among all Inuit in Northwest Territories on creation of	
			Creation of		separate state for Inuits. Referendum foreseen in agreement negotiated between	Légaré (1998: 275ff);
Canada	Aboriginal peoples	11/3/1992	Nunavut	1	key Inuit representatives, NWT government, and Canada's central government.	c2d (2011)
	Christian Eritreans;				Referendum resulted from negotiations between Ethiopia's transitional	Tesfaye (2002); Peters
Ethiopia	Muslim Eritreans	4/23/1993	Independence	1	government and EPLF (Eritrean separatist outfit).	(1995: 228ff)
					Held simultaneously with 1993 Russian constitutional referendum at initiative of	
					Bashkir authorities. Moscow did not strongly object to the referendum –likely	Gorenburg (2003: 139);
			Economic		given the relatively limited demands for economic autonomy – but due to the lack	Szajkowski (1993:
Russia	Bashkirs	4/25/1993	autonomy	0	of explicit agreement still best seen as unilaterally initiated.	174f)
					Referendum on Vance-Owen plan for re-integration of self-proclaimed Serb and	
Bosnia and			Merger with		Croat entities with Bosnia. Called unilaterally by Bosnian Serbs' self-styled	
Herzegovina	Serbs	5/15/1993	Serbia	0	National Assembly with goal of reaffirming secession and merger with Serbia.	Goodby (1996: 512)
			Merger with		Called by self-proclaimed Krajina parliament without consent of Croatian	c2d 2011; Brady &
Croatia	Serbs	6/19/1993	Republika Srpska	0	government.	Kaplan (1994)
					Called by Russians in Estonia's north-east (Narva & Sillamae) and declared illegal	MAR; Peters (1995:
Estonia	Russians	7/16/1993	Autonomy	0	by Estonia. CSCE intervened diplomatically to prevent escalation.	164)
					Vote on regional constitution that conferred extra autonomy to Tuva. Held	
			Constitution		simultaneously with referendum on Russian constitution. I found no evidence to	Orttung et al. (2000:
Russia	Tuvinians	12/12/1993	(autonomy)	1	suggest that Moscow objected to the referendum.	582)
					Referendum on Crimean autonomy called by Crimean authorities. Declared illegal	
Ukraine	Russians	3/27/1994	Autonomy	0	by Ukrainian authorities.	MAR; Sasse (2001)
		0.00.4			Called by pro-Russian forces in Donbas region and declared unconstitutional by	Flynn (1996: 346);
Ukraine	Russians	3/27/1994	Federal Ukraine	0	Ukrainian authorities.	Sasse (2001)
					Referendum on another plan for re-integration of self-proclaimed Serb and Croat	
Bosnia and	G 1	0/20/1004	Merger with		entities with Bosnia. Called unilaterally by Bosnian Serbs' self-styled authorities	G 1 (1004)
Herzegovina	Serbs	8/28/1994	Serbia	0	with goal of reaffirming secession and merger with Serbia.	Sudetic (1994)
			D		Information on this referendum is scarce. Overall, though, it seems that the	
			Division of		referendum was initiated by the republican authorities with the goal of affirming	D: 1 1 (2000)
Duggio	Balkars	?/?/1994	Kabardino-		popular support for unity of Kabardino-Balkaria and without prior consultation	Richmond (2008);
Russia	Dalkars	:/:/1994	Balkaria Republic	0	with Balkar separatists.	Roeder (2007: 130)
Maldaya	Casaus	2/5/1005	Autonomy	1	Gagauz separatists and the Moldovan state reached agreement on an autonomy arrangement in 1994, which also foresaw a referendum, to be held in 1995.	Doman (2002, 119)
Moldova	Gagauz	3/5/1995	Autonomy	1	arrangement in 1994, which also foresaw a referendum, to be held in 1995.	Roper (2002: 118)

	N	1		1		T
	Northwestern					
	Anglophones (Grassfielders);					
	Southwestern					Vanings & Nyampiah
					Organized by Anglombons independence may smart without consent of	Konings & Nyamnjoh
C	Anglophones	0/1/1005	T 1 1	0	Organized by Anglophone independence movement without consent of	(2004); Minahan (2002:
Cameroon	(Bakweri etc.)	9/1/1995	Independence	U	Cameroon's central government.	1777)
			G C		Held in context of Quebec's vote on secession from Canada. Called by leaders of	(2010 42)
G 1	41	10/26/1007	Separation from		three indigenous groups in Quebec (Crees, Inuit, and Innus). Called without	Laponce (2010: 42);
Canada	Aboriginal peoples	10/26/1995	Quebec	0	consent of Quebec government, which pledged to ignore the referendum.	Minahan (2002: 496f)
1					Initiated by Quebec's regional government (led by main Quebecois separatist	LeDuc (2003: 105);
		10/20/1005			outfit, PQ). Right to hold referendum was uncontested by central government,	Leslie (1999 : 136);
Canada	French speakers	10/30/1995	Independence	1	which campaigned for a no vote.	Smith (2013)
1					Proposed by central government in agreement with Scottish Constitutional	
•					Convention, an association	
					of Scottish political parties and civic groups lobbying for Scottish home rule.	
					Scottish National Party was initially opposed to referendum, but relented and	BBC (1997); LeDuc
UK	Scots	9/11/1997	Autonomy	1	campaigned for yes vote.	(2003: 114ff)
					Proposed by central government with support of main Welsh separatist outfit,	
UK	Welsh	9/18/1997	Autonomy	1	Plaid Cymru, and other key Welsh representatives.	LeDuc (2003: 114ff)
Philippines	Indigenous	3/7/1998	Autonomy	0	Repeat of the 1990 referendum (see above).	Ferrer (2005)
					Referendum was result of inclusive negotiations involving various representatives	McGarry & O'Leary
	Catholics in N.		Goodfriday		from both the Protestant and Catholic divide, as well as the governments of both	(2009); Wheatley
UK	Ireland	5/22/1998	Agreement	1	Britain and Ireland.	(2012)
						McGarry & O'Leary
	Catholics in N.		Goodfriday		Goodfriday Agreement mandated a simultaneous referendum to be held in	(2009); Wheatley
UK	Ireland	5/22/1998	Agreement	1	Republic of Ireland.	(2012)
					San Andres Accords signed in 1996, which among other things promises the	
					Mayans autonomy. Was not properly implemented. In response, the	
					EZLN/Zapatistas, a mainly Mayan separatist outfit, launched a nation-wide	
					referendum that included a question on implementation of the San Andres	c2d (2011); Swords
Mexico	Maya	3/21/1999	Autonomy	0	Accords. The vote was "unofficial" and not recognized by Mexico.	(1987: 82f)
					Referendum resulted from international agreement between Indonesia, Portugal,	Gunderson (2015:
					and UN. East Timorese separatists led by FREITLIN were not part of negotiations	131ff); Symonds
					leading to referendum, but had a long-standing claim to a referendum and happily	(1999); Schulze (2001:
Indonesia	East Timorese	8/30/1999	Independence	1	embraced it.	77ff) Traub (2000)
					"Unofficial" referendum on new constitution declaring Abkhazia an independent	c2d (2011); Coppieters
			Constitution		state. Initiated by Abkhazia's de facto authorities without consent of Georgian	(2004); Wheatley
Georgia	Abkhazians	10/3/1999	(independence)	0	authorities.	(2012)
						Albornoz & Molina
	Indigenous lowland					(2004: 61ff); Eaton
	peoples (Shuar,				Called by authorities of Amazonian region of Sucumbios. Not recognized by	(2011: 302); Explored
Ecuador	Achuar etc.)	9/24/2000	Autonomy	0	Ecuadorian authorities.	(2000)

						Bideleux & Jeffries
						(2007: 377); Bieber
						(2001: 2); Council of
Bosnia and			Separate Croat			Europe (2001);
Herzegovina	Croats	11/11/2000	entity	0	Called by separatist HDZ party. Declared illegal by Bosnian authorities.	Kasapovic (2005: 18)
					Government-initiated referendum that was outright rejected by MNLF, one of the	
					two main Moro separatist outfits. MILF, the other main Moro separatist outfit,	
			Expansion of		merely "observed" the process. Separatists were not consulted in the drafting of	
Philippines	Moro	8/14/2001	autonomous region	0	the autonomy law and referendum provisions.	Ferrer (2005: 129)
					Referendum on autonomy arrangement that was proposed by central government.	
					Referendum not preceded by formal negotiations, but separatists were not opposed	Sánchez (2008: 658f);
France	Corsicans	7/6/2003	Autonomy	1	and campaigned for a yes vote.	The Economist (2003)
					Vote on re-unification and federalization of Cyprus. Separate votes were held in	
					Greek and Turkish part. Resulted from negotiations between both sides, mediated	Sözen & Özersay
Cyprus	Turks	4/24/2004	Annan plan	1	by UN.	(2007)
Cyprus	Turks	4/24/2004	Annan plan	1	See above.	
Serbia and					Resulted from inclusive negotiations involving both Serbian and Montenegrin	Bieber (2010: 941ff);
Montenegro	Montenegrins	5/21/2006	Independence	1	leaders with EU mediation.	Vidmar (2007:
			Enhanced		Constitutionally mandated referendum on new Autonomy Statute negotiated by	Keating & Wilson
Spain	Catalans	6/18/2006	autonomy	1	Catalonia's regional government and Madrid.	(2009); c2d 2011
					Separatists in Santa Cruz department collected >400,000 in 2005 for a referendum	
					(popular initiative) on increased departmental autonomy. In accordance with	
					constitution, central government agreed to the referendum. The (regionalist) Santa	
					Cruz movement is not represented in EPR, but Aymara/Quechua would have been	
	Aymara				indirect beneficiaries as they make up the majority in several departments	
Bolivia	Quechua	7/2/2006	Autonomy	1	(contrary to the lowland indigenous peoples).	Eaton (2011)
36.11		0.417.4200.6	Merger with		Initiated by Transnistria's self-declared authorities without consent of Moldovan	21/2011)
Moldova	Russian speakers	9/17/2006	Russia	0	government.	c2d (2011)
		11/12/2004			Repeat of the 1992 independence referendum. Initiated by self-proclaimed South	c2d (2011); Wheatley
Georgia	Ossetians (South)	11/12/2006	Independence	0	Ossetian authorities over opposition of Georgian government.	(2012)
					Enraged by South Ossetia's decision to hold another vote on independence, the	
					Georgian government (through a proxy, the so-called Salvation Union of Ossetia)	
					organized its own referendum in those parts South Ossetia it controlled. The vote	
					proposed the start of negotiations with Georgia on a federal arrangement for South	
<b>.</b>	0 (0 4)	11/12/2006	A		Ossetia. The vote was held without prior consultation, and agreement, of South	W/I (1 (2012)
Georgia	Ossetians (South)	11/12/2006	Autonomy	0	Ossetian separatists.	Wheatley (2012)
			Constitution		Referendum on new constitution reaffirming Nagorno-Karabakh's independence. Called by Karabakh's de facto authorities. Azerbaijan was opposed to the	a2d (2011), Casmanas
Azambaiian	Ammaniana	12/10/2006	Constitution		referendum, arguing that it was unconstitutional.	c2d (2011); Caspersen (2008) Today.az 2006
Azerbaijan	Armenians	12/10/2006	(independence)	0		(2008) 10day.az 2006
					Referendum on autonomy of Szeklerland launched by Szekler National Council, a	
Domania	Lungarians	12/?/2006	Autonomy	0	Hungarian organisation advocating autonomy for Hungarians in Romania.  Declared illegal by Romania's courts.	sudd (2014)
Romania	Hungarians	12/ !/2000	Autonomy	U	Declared megal by Komama 8 courts.	suuu (2014)

Spain	Catalans	9/13/2009	Independence	0	Between 2009 and 2011, 552 of Catalonia's 947 municipalities held "unofficial" municipality-level referendums on Catalan independence.	Muñoz & Guinjoan (2013)
Spain	Cutuluis	3/13/2003	тисрениенее		5 Andean departments (La Paz, Potosi, Chuquisaca, Oruro, and Cochabamba) held	(2013)
					referendums on increased autonomy in December 2009 in context of major	Centellas (2010); Corte
	Aymara;		Departmental		constitutional overhaul involving a series of negotiations between elected	Nacional Electoral
Bolivia	Quechua	12/6/2009	autonomy	1	representatives from every region of the country.	(2009); Eaton (2013)
	Aymara;		Departmental			( 111), ( 1 1)
Bolivia	Quechua	12/6/2009	autonomy	1	See above.	
	Aymara;		Departmental			
Bolivia	Quechua	12/6/2009	autonomy	1	See above.	
	Aymara;		Departmental			
Bolivia	Quechua	12/6/2009	autonomy	1	See above.	
	Aymara;		Departmental			
Bolivia	Quechua	12/6/2009	autonomy	1	See above.	
					Bolivia's 2009 constitution also provided for the possibility of autonomy at the	
					provincial level (i.e., below departmental level) if so desired by citizens in a	Centellas (2010); Corte
					referendum (referendums could be triggered by signature collection). Gran Chaco	Nacional Electoral
	Guaraní and other				- a province that is located in the easternAmazonian lowland and mainly inhabited	(2009); personal
	eastern indigenous		Regional		by indigenous peoples – was the only province holding a vote on provincial	communication with
Bolivia	groups	12/6/2009	autonomy	1	autonomy.	Yanina Welp
	Guaraní and other				Bolivia's 2009 constitution also provided for the possibility of local-level	
	eastern indigenous				autonomy for indigenous peoples if so desired by citizens in a referendum	Centellas (2010); Corte
	groups;				(referendums could be triggered by signature collection). 12 such referendums	Nacional Electoral
	Aymara;				were held involving municipalities by Aymaras, Quechuas, and eastern indigenous	(2009); Tockman &
Bolivia	Quechua	12/6/2009	Local autonomy	1	groups.	Cameron (2014)
	Azande;					
	Bari;					
	Dinka;					
	Latoka;					
	Nuer;					
	Other Southern				Referendum was part of 2005 Comprehensive Peace Agreement. The CPA had	
	groups;				resulted from negotiations between Khartoum and Southerner rebels under the	
Sudan	Shilluk	1/9/2011	Independence	1	aegis of the SPLM/A.	c2d (2011)
TT 1. 1			F. 1		Referendum on enhanced autonomy. Agreed unanimously in the Welsh assembly	
United	*** 1.1	0/0/2011	Enhanced		in February 2010 and subsequently given go ahead by central government in	
Kingdom	Welsh	3/3/2011	autonomy	1	London.	Gov.uk
					The (Serbian-dominated) North Kosovo had declared itself part of Serbia in 2008.	
			3.5		In 2012 the unrecognized authorities in North Kosovo organized a referendum on	D II I 1 1 (2012)
17	G 1	2/14/2012	Merger with		the recognition of the official authorities in Pristina (and, hence, de facto	BalkanInsight (2012);
Kosovo	Serbs	2/14/2012	Serbia	0	separation from Kosovo). The vote was not recognized by the Kosovar authorities.	c2d (2011)

#### 1.3 Variable Descriptions

#### Separatist war onset

*Description:* Dummy variable that is coded 1 if a separatist group transitions from no separatist war to separatist war, 0 otherwise. Cases of ongoing war are dropped.

Source: Sambanis et al. (2018).1

#### Separatist war termination

*Description:* Dummy variable that is coded 1 if a separatist group transitions from separatist war to no separatist war, 0 otherwise. Cases of ongoing peace are dropped.

Source: Sambanis et al. (2018).<sup>2</sup>

# Mutually agreed SD referendum

*Description:* Coded 1 if a mutually agreed self-determination referendum was held in the current or the previous calendar year, 0 otherwise.

*Sources:* See section 1.2.

#### **Unilateral SD referendum**

*Description:* Coded 1 if a unilateral self-determination referendum was held in the current or the previous calendar year, 0 otherwise.

*Sources:* See section 1.2.

#### **Exclusion**

*Description:* Dummy variable indicating whether members of an ethnic group did (0) or did not (1) have meaningful representation in the national executive at the beginning of each calendar year.

Source: Vogt et al. (2015), with the corrections described in Germann & Sambanis (2021).

#### Regional autonomy

*Description:* Dummy variable that is coded 1 if an ethnic group had a meaningful level of regional autonomy at the beginning of each calendar year.

Source: Vogt et al. (2015), with the corrections described in Germann & Sambanis (2021).

<sup>1</sup> In deviation from Sambanis et al. (2018), I code a separatist war onset for the Bosnians in Yugoslavia in 1992. Sambanis et al. (2018) do not code this onset because Correlates of War and other datasets start to treat Bosnia as an independent state a few days after Bosnia's 1992 referendum. However, the Bosnian civil war was clearly connected to the 1992 referendum in Bosnia (Kalyvas & Sambanis 2005). Therefore, coding a war onset in 1992 better reflects the case dynamics.

<sup>&</sup>lt;sup>2</sup> In deviation from Sambanis et al. (2018), I code an end to the war in Northern Ireland in 1997 instead of 1998 because there was no violence above the 25-deaths threshold after the 1998 referendum. Coding the end of the war in 1998 would associate the referendum with continued violence and thus misrepresent the case dynamics. Analogously, I code an end to the violence in Corsica in 2002 instead of 2003 because there was no violence above the threshold after the July referendum (see Sambanis et al. 2018).

#### **Autonomy restriction**

*Description:* Dummy variable that is coded 1 if a separatist group lost autonomy in the previous two years. This includes cases where groups lost independence, became stranded, and lost internal autonomy within the previous two years.

Source: Germann & Sambanis (2021).

#### Separatist kin

Description: Dummy variable that is coded 1 if an ethnic group has a kin group adjacent to its settlement area that makes a separatist claim against its host state. The variable is lagged one year, except in the first year of a country series.

Source: Germann & Sambanis (2021).

#### **Hydrocarbon reserves**

Description: Dummy variable that is coded 1 if a group's regional base overlaps with a giant oil or natural gas field (from the year of discovery). An oil or gas field is considered giant if it has a minimum of 500 million barrels (79,000,000 m³) of ultimately recoverable oil or gas equivalent. The variable is lagged one year, except in the first year of a country series. Groups without an identifiable regional base are coded 0.

Sources: Horn (2010); Hunziker & Cederman (2017).

#### **Democracy**

Description: A country's level of democracy, lagged one year except in the first year of a country series.

Source: Teorell et al. (2016).

#### **ln(GDP per capita)**

*Description:* The natural logarithm of a country's gross domestic product per capita in constant 2005 dollars (1,000s), lagged one year except in the first year of a country series.

Sources: Gleditsch's (2002) expanded trade and GDP data (v6.0), with missing country-years imputed using real GDP growth statistics from the World Bank's World Development Indicators (WDI) (The World Bank 2017), Angus Maddison's Historical Statistics of the World Economy (Maddison 2010), including the updates in the Maddison-Project (2013), and Sambanis & Schulhofer-Wohl (2019).

#### **Peacekeeping**

*Description:* Coded 1 if there was an active peacekeeping operation in a country in the previous year, 0 otherwise.

Source: Cederman et al. (2017).

#### **Cold War**

Description: Dummy variable coded 1 until and including 1989.

Source: Own calculation.

## Peace years

Description: Count of the number of years a separatist group has lived in peace with its host state.

Source: Own calculation.

## War years

Description: Count of the number of years a separatist group has been in engaged in war with its host state.

Source: Own calculation.

# 1.4 Summary Statistics

Table S2: Summary statistics

Variable	Obs	Mean	Std. Dev.	Min	Max
Separatist war onset	6,571	0.028	0.165	0	1
Separatist war termination	2,246	0.071	0.257	0	1
Mutually agreed SD referendum	8,817	0.008	0.090	0	1
Unilateral SD referendum	8,817	0.012	0.110	0	1
Exclusion	8,817	0.806	0.400	0	1
Regional autonomy	8,817	0.374	0.481	0	1
Autonomy restriction	8,817	0.014	0.116	0	1
Separatist kin	8,817	0.229	0.420	0	1
Hydrocarbon reserves	8,817	0.144	0.351	0	1
Democracy	8,812	0.423	0.272	0.018	0.928
ln(GDP per capita)	8,817	1.229	1.185	-1.814	3.773
Peacekeeping	8,817	0.160	0.367	0	1
Cold War	8,817	0.462	0.499	0	1
Peace years	8,817	11.551	14.053	0	66
War years	8,817	3.403	8.531	0	64

#### 1.5 Robustness Checks

Table S3 shows the results when the following five time-varying variables are added to the models reported in the paper (data sources in brackets): lagged civil war mediation (De Rouen et al. 2011), lagged large-scale nonviolent campaign (Chenoweth & Lewis 2013; Lakey 2011), de facto independence (Vogt et al. 2015), lagged share of government military personnel in total population (Singer et al. 1972), and the number of politically relevant groups (Vogt et al. 2015; also cf. Walter 2009). The results are similar compared to those in the paper. Table S3 also shows the results of models not including any controls. The results are again similar, though some of the referendum coefficients are now much larger, presumably because of the endogeneity of self-determination referendums to conflict processes and separatist war.

The results reported in the paper rely on referendum variables which are coded 1 in the year of a referendum and the subsequent year, 0 otherwise. Table S4 shows the results when the referendum dummies are coded as 1 i) only in the year a referendum is held and ii) only in the year after a referendum. The results for separatist war onset remain similar (see models 1 and 2), but some differences emerge for the war termination models. Specifically, while the coefficient for mutually agreed referendums remains similarly sized, it is no longer statistically significant in both model 5 and 6. The likely reason is that even fewer observations are now coded with an occurrence of a consensual referendum (e.g., just 6 observations in model 5). Another difference is that the unilateral referendum dummy is now statistically significant in one model 6, though it remains statistically indistinguishable from zero in model 5.

Table S4 also reports the results when longer time frames are considered. Models 3 and 7 consider the effects of referendums held in the current as well as the two subsequent years instead of just the current and subsequent year. The results are similar to those reported in the paper. Finally, in models 4 and 8 I analyze exponential decay functions indicating the time elapsed since a consensual or unilateral referendum. The decay functions are coded 1 in the year of a referendum and then decrease exponentially with a half-life of three years, thus allowing consideration of the long-term implications of referendums under the assumption that the effects referendums decrease over time. The results are visualized in Figure S1 and suggest that the effects of mutually agreed and unilateral referendums can be long-lasting.

Table S5 shows the results when the dependent variables are restricted to the onset/termination of major separatist wars as defined by Doyle & Sambanis (2006). I get similar results compared to those in the paper in linear probability models including just the main predictors (see models 1 and 4), in fixed effects models including the controls used in the paper (see models 2 and 5), as well as in fixed effects models which in addition include the five controls introduced above (see models 3 and 6).

Table S3: Additional controls

	(1)	(2)	(3)	(4)
	Onset	Onset	Term.	Term.
Mutually agreed SD referendum	-0.029***	-0.021**	0.421**	$0.433^{*}$
	(0.004)	(0.008)	(0.156)	(0.187)
Unilateral SD referendum	$0.113^{*}$	$0.088^{*}$	0.090	-0.082
	(0.048)	(0.041)	(0.087)	(0.094)
Exclusion		$0.038^{*}$		-0.075
		(0.017)		(0.059)
Regional autonomy		0.010		0.078
		(0.015)		(0.059)
Autonomy restriction		$0.167^{***}$		-0.075
		(0.048)		(0.050)
Separatist kin		0.000		-0.005
		(0.017)		(0.026)
Hydrocarbon reserves		$0.049^{+}$		0.005
		(0.025)		(0.048)
Democracy		-0.011		0.027
•		(0.027)		(0.078)
ln(GDP per capita)		-0.020		-0.007
		(0.014)		(0.027)
Peacekeeping		-0.002		0.061
		(0.023)		(0.041)
Cold War		$0.030^{*}$		-0.007
		(0.012)		(0.035)
Civil war mediation		0.175		-0.002
		(0.141)		(0.027)
Nonviolent campaign		$0.071^{+}$		0.030
1 0		(0.036)		(0.041)
De facto independence		0.060		-0.067
•		(0.070)		(0.064)
Government military personnel		0.570		$-2.558^{+}$
<b>7</b> 1		(0.702)		(1.314)
Number of pol. relevant groups		-0.002*		-0.001
		(0.001)		(0.002)
Dispute FEs	No	Yes	No	Yes
Peace years	No	Yes	No	No
War years	No	No	No	Yes
Groups	277	277	123	123
Countries	94	94	51	51
Observations	6571	6566	2246	2241
Note: All models include a constant (not				

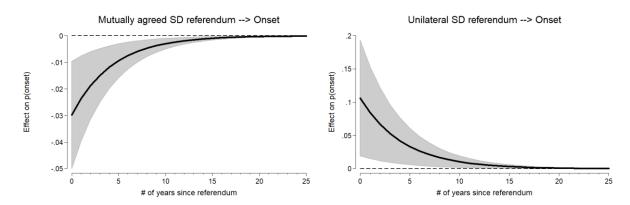
Note: All models include a constant (not shown). Standard errors clustered by country in parentheses. FEs = fixed effects; GDP = gross domestic product; SD = self-determination; pol. = politically; term. = termination.  $^+p < 0.10$ ,  $^*p < 0.05$ ,  $^{**}p < 0.01$ ,  $^{***}p < 0.001$ .

Table S4: Alternative time frames

	(1) Onset	(2) Onset	(3) Onset	(4) Onset	(5) <b>Term.</b>	(6) <b>Term.</b>	(7) <b>Term.</b>	(8) <b>Term.</b>
Mutually agreed SD referendum in:	Oliset	Oliset	Oliset	Oliset	101111.	101111.	101111.	101111.
Current year	-0.020* (0.009)				0.380 (0.236)			
Previous year	(0.00)	-0.011 <sup>+</sup> (0.006)			(0.230)	0.467 (0.364)		
Current & previous two years		(0.000)	-0.014* (0.006)			(0.504)	0.380 <sup>+</sup> (0.199)	
Decay function			(0.000)	-0.030** (0.010)			(0.177)	0.435* (0.206)
Unilateral SD referendum in:				()				()
Current year	$0.101^{+}$ (0.058)				0.074 (0.090)			
Previous year		0.109* (0.052)				-0.315* (0.137)		
Current & previous two years			0.095* (0.039)				-0.010 (0.066)	
Decay function				0.106* (0.044)				0.001 (0.069)
Exclusion	0.046* (0.018)	0.034 <sup>+</sup> (0.017)	0.046* (0.018)	0.044* (0.018)	-0.089 (0.062)	-0.088 (0.061)	-0.089 (0.062)	-0.090 (0.062)
Regional autonomy	0.016 (0.016)	0.011 (0.015)	0.017 (0.016)	0.017 (0.016)	0.057 (0.051)	0.061 (0.051)	0.058 (0.051)	0.058 (0.051)
Autonomy restriction	0.185*** (0.048)	0.177*** (0.047)	0.185*** (0.048)	0.187*** (0.049)	-0.085 (0.051)	-0.067 (0.045)	-0.081 (0.050)	-0.082 (0.050)
Separatist kin	-0.000 (0.017)	0.002 (0.017)	0.000 (0.017)	0.000 (0.017)	-0.007 (0.030)	-0.011 (0.034)	-0.008 (0.030)	-0.008 (0.030)
Hydrocarbon reserves	0.046 <sup>+</sup> (0.025)	0.045 <sup>+</sup> (0.023)	0.047 <sup>+</sup> (0.025)	0.048 <sup>+</sup> (0.025)	0.002	0.001 (0.046)	0.002	0.002 (0.046)
Democracy	-0.005 (0.027)	-0.021 (0.023)	-0.007 (0.027)	-0.009 (0.027)	0.061 (0.074)	0.040 (0.078)	0.054 (0.076)	0.053 (0.075)
ln(GDP per capita)	-0.024 <sup>+</sup> (0.013)	-0.015 (0.012)	-0.023 <sup>+</sup> (0.013)	-0.022 <sup>+</sup> (0.013)	-0.008 (0.026)	-0.004 (0.026)	-0.008 (0.026)	-0.008 (0.026)
Peacekeeping	0.001 (0.023)	-0.001 (0.022)	-0.001 (0.023)	-0.002 (0.023)	0.055 (0.042)	0.048 (0.041)	0.055 (0.041)	0.055 (0.042)
Cold War	0.028*	$0.019^{+}$ (0.011)	0.029* (0.012)	0.030*	-0.011 (0.033)	-0.013 (0.033)	-0.012 (0.033)	-0.012 (0.033)
Dispute FEs	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Peace years	Yes	Yes	Yes	Yes	No	No	No	No
War years	No	No	No	No	Yes	Yes	Yes	Yes
Groups	277	271	277	277	123	123	123	123
Countries	94	94	94	94	51	51	51	51
Observations	6571	6465	6571	6571	2241	2217	2241	2241

Note: All models include a constant (not shown). Standard errors clustered by country in parentheses. FEs = fixed effects; GDP = gross domestic product; SD = self-determination; term. = termination.  $^+p < 0.10, ^*p < 0.05, ^{**}p < 0.01, ^{***}p < 0.001$ .

Figure S1: Long-term effects of mutually agreed and unilateral self-determination referendums





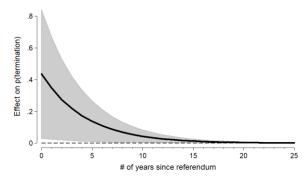


Table S5: Major separatist war onset and continuation

Mutually agreed SD referendum         Onset         Onset         Term.         Term.         Term.           Mutually agreed SD referendum         -0.014**** -0.010* -0.011* 0.597*** 0.665*** 0.593** (0.002)         0.004)         (0.004)         (0.162)         (0.151)         (0.198)           Unilateral SD referendum         0.091* 0.092** 0.091** 0.080         -0.064 -0.042         -0.042           Exclusion         0.020* 0.019* 0.019*         -0.179* -0.163*           Exclusion         0.020* 0.001         (0.009)         (0.097)         (0.085)           Regional autonomy         -0.003 -0.003 0.146         0.185*           Regional restriction         0.149**** 0.149**** 0.149***         -0.078 0.099         -0.090           Autonomy restriction         0.149**** 0.149**** 0.149*** 0.003         -0.078 0.099         -0.090           Separatist kin         0.002 0.003         -0.029 0.027         -0.029           Hydrocarbon reserves         0.027* 0.028* 0.003         -0.029 0.027         -0.027           Hydrocarbon reserves         0.027* 0.028* 0.003         -0.029 0.027         -0.029           0.013) (0.013) (0.013) (0.013) (0.013) (0.032) (0.034)         (0.034)         (0.034)           Hydrocarbon reserves         0.027* 0.028* 0.018*         -0.112 0.012*         -0.112	Tuote 55. Major separatisi war or	(1)	(2)	(3)	(4)	(5)	(6)
Mutually agreed SD referendum         -0.014****         -0.010**         -0.011*         0.597***         0.665***         0.593**           Unilateral SD referendum         (0.002)         (0.004)         (0.004)         (0.162)         (0.151)         (0.198)           Unilateral SD referendum         0.091**         0.092***         0.091**         0.080         -0.064         -0.042           (0.039)         (0.032)         (0.032)         (0.089)         (0.103)         (0.098)           Exclusion         0.020*         0.019*         -0.179*         -0.163*           (0.011)         (0.010)         (0.097)         (0.085)           Regional autonomy         -0.003         -0.003         0.146         0.185*           (0.010)         (0.009)         (0.097)         (0.102)           Autonomy restriction         0.149****         0.149****         -0.078         -0.099           Separatist kin         0.002         0.003         -0.029         -0.027           (0.009)         (0.037)         (0.058)         (0.060)           Separatist kin         0.002         0.003         -0.029         -0.027           (0.009)         (0.009)         (0.032)         (0.032)		` '		` '	` '	` '	` '
Unilateral SD referendum (0.091* 0.092** 0.091** 0.080 -0.064 -0.042 (0.039) (0.032) (0.032) (0.089) (0.103) (0.098) (0.098) (0.032) (0.089) (0.103) (0.098) (0.098) (0.013) (0.098) (0.013) (0.098) (0.013) (0.098) (0.011) (0.010) (0.097) (0.085) (0.011) (0.011) (0.010) (0.097) (0.085) (0.010) (0.009) (0.009) (0.097) (0.102) (0.010) (0.009) (0.009) (0.097) (0.102) (0.014) (0.014) (0.014) (0.013) (0.037) (0.038) (0.060) (0.098) (0.060) (0.099) (0.032) (0.034) (0.009) (0.009) (0.009) (0.032) (0.034) (0.013) (0.013) (0.013) (0.013) (0.080) (0.077) (0.012) (0.014) (0.015) (0.0180) (0.180) (0.180) (0.016) (0.009) (0.009) (0.080) (0.080) (0.077) (0.016) (0.015) (0.016) (0.016) (0.016) (0.016) (0.016) (0.016) (0.016) (0.062) (0.061) (0.009) (0.009) (0.009) (0.062) (0.061) (0.009) (0.009) (0.008) (0.062) (0.061) (0.009) (0.008) (0.062) (0.061) (0.009) (0.008) (0.062) (0.061) (0.009) (0.009) (0.008) (0.062) (0.061) (0.009) (0.018) (0.018) (0.018) (0.009) (0.018) (0.018) (0.018) (0.018) (0.018) (0.018) (0.018) (0.006) (0.006) (0.006) (0.006) (0.008) (0.007) (0.008) (0.006) (0.008) (0.006) (0.008)	Mutually agreed SD referendum	-0.014***	-0.010*	-0.011*	0.597***	0.665***	0.593**
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	• 0	(0.002)	(0.004)	(0.004)	(0.162)	(0.151)	(0.198)
Exclusion $0.020^+$ $0.019^+$ $-0.179^+$ $-0.163^+$ Regional autonomy $-0.003$ $-0.003$ $0.146$ $0.185^+$ Regional autonomy $-0.003$ $-0.003$ $0.146$ $0.185^+$ Autonomy restriction $0.149^{****}$ $0.149^{*****}$ $-0.078$ $-0.090$ Autonomy restriction $0.149^{*****}$ $0.149^{*****}$ $-0.078$ $-0.090$ Separatist kin $0.002$ $0.003$ $-0.029$ $-0.027$ Hydrocarbon reserves $0.027^*$ $0.028^*$ $-0.112$ $-0.112$ Democracy $-0.000$ $-0.002$ $-0.148$ $-0.219$ Democracy $-0.000$ $-0.002$ $-0.148$ $-0.219$ In(GDP per capita) $-0.014^+$ $-0.015^+$ $-0.122^+$ $-0.138^*$ Peacekeeping $-0.015^+$ $-0.018^+$ $-0.012^+$ $-0.122^+$ $-0.138^*$ Cold War $0.018^{**}$ $0.018^{**}$ $0.018^{**}$ $0.020$ $0.015$ Civil war mediation $0.0043$	Unilateral SD referendum	$0.091^{*}$	$0.092^{**}$	0.091**	0.080	-0.064	-0.042
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		(0.039)	(0.032)	(0.032)	(0.089)	(0.103)	(0.098)
Regional autonomy $-0.003$ $-0.003$ $-0.003$ $0.146$ $0.185^+$ Autonomy restriction $0.149^{***}$ $0.149^{***}$ $-0.078$ $-0.090$ Separatist kin $0.002$ $0.0037$ $(0.058)$ $(0.060)$ Separatist kin $0.002$ $0.003$ $-0.029$ $-0.027$ $(0.009)$ $(0.009)$ $(0.032)$ $(0.034)$ Hydrocarbon reserves $(0.027^*$ $0.028^*$ $-0.112$ $-0.112$ Democracy $(0.013)$ $(0.013)$ $(0.080)$ $(0.077)$ Democracy $(0.014)$ $(0.015)$ $(0.180)$ $(0.180)$ $\ln(GDP)$ per capita) $-0.014^+$ $-0.015^+$ $-0.122^+$ $-0.138^*$ $(0.007)$ $(0.008)$ $(0.062)$ $(0.061)$ Peacekeeping $-0.015$ $-0.018^+$ $0.072$ $0.063$ Cold War $0.018^{**}$ $0.018^{**}$ $0.018^{**}$ $0.020$ $0.015$ Civil war mediation $0.084^+$ $0.043$ $-0.019$ $0.003$ $-0.019$ $0.0043$ $0.003$ $0.003$	Exclusion		$0.020^{+}$	$0.019^{+}$		$-0.179^{+}$	-0.163+
Autonomy restriction			(0.011)	(0.010)		(0.097)	(0.085)
Autonomy restriction $0.149^{***}$ $0.149^{***}$ $-0.078$ $-0.090$ Separatist kin $0.002$ $0.003$ $-0.029$ $-0.027$ Hydrocarbon reserves $0.027^*$ $0.028^*$ $-0.112$ $-0.112$ Hydrocarbon reserves $0.027^*$ $0.028^*$ $-0.112$ $-0.112$ Democracy $-0.000$ $-0.002$ $-0.148$ $-0.219$ $10(0.014)$ $(0.015)$ $(0.180)$ $(0.180)$ $10(0.07)$ $(0.008)$ $(0.062)$ $(0.061)$ Peacekeeping $-0.015^+$ $-0.018^+$ $0.072$ $0.063$ Cold War $0.018^{**}$ $0.018^{**}$ $0.018^{**}$ $0.020$ $0.015$ Civil war mediation $0.084^+$ $0.084^+$ $-0.019$ Civil war mediation $0.084^+$ $-0.019$	Regional autonomy		-0.003	-0.003		0.146	$0.185^{+}$
$\begin{array}{c} \text{Separatist kin} & (0.037) & (0.037) & (0.058) & (0.060) \\ 0.002 & 0.003 & -0.029 & -0.027 \\ (0.009) & (0.009) & (0.009) & (0.032) & (0.034) \\ \text{Hydrocarbon reserves} & 0.027^* & 0.028^* & -0.112 & -0.112 \\ (0.013) & (0.013) & (0.013) & (0.080) & (0.077) \\ \text{Democracy} & -0.000 & -0.002 & -0.148 & -0.219 \\ (0.014) & (0.015) & (0.180) & (0.180) \\ \text{In(GDP per capita)} & -0.014^+ & -0.015^+ & -0.122^+ & -0.138^* \\ (0.007) & (0.008) & (0.062) & (0.061) \\ \text{Peacekeeping} & -0.015 & -0.018^+ & 0.072 & 0.063 \\ (0.010) & (0.010) & (0.010) & (0.094) & (0.078) \\ \text{Cold War} & 0.018^{**} & 0.018^{**} & 0.020 & 0.015 \\ \text{Civil war mediation} & 0.084^+ & -0.019 \\ \text{Civil war mediation} & 0.084^+ & -0.019 \\ \text{(0.043)} & (0.036) & (0.036) \\ \end{array}$						(0.097)	(0.102)
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Autonomy restriction		$0.149^{***}$	$0.149^{***}$		-0.078	-0.090
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$			(0.037)	(0.037)		(0.058)	(0.060)
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Separatist kin		0.002	0.003		-0.029	-0.027
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$			(0.009)	(0.009)		(0.032)	(0.034)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Hydrocarbon reserves		$0.027^{*}$	$0.028^{*}$		-0.112	-0.112
$\begin{array}{cccccccccccccccccccccccccccccccccccc$			(0.013)	(0.013)		(0.080)	(0.077)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Democracy		-0.000	-0.002		-0.148	-0.219
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$			(0.014)	(0.015)		(0.180)	(0.180)
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	ln(GDP per capita)		$-0.014^{+}$	$-0.015^{+}$		$-0.122^{+}$	-0.138*
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$			(0.007)	(0.008)		(0.062)	(0.061)
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Peacekeeping		-0.015	$-0.018^{+}$		0.072	0.063
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$						(0.094)	(0.078)
Civil war mediation $0.084^+$ $-0.019$ $(0.043)$ $(0.036)$	Cold War		$0.018^{**}$	$0.018^{**}$		0.020	0.015
(0.043) $(0.036)$			(0.006)	(0.006)		(0.048)	(0.044)
	Civil war mediation			$0.084^{+}$			-0.019
Nami alant agreei an							(0.036)
Nonviolent campaign 0.009 -0.056	Nonviolent campaign			0.009			-0.056+
(0.012) $(0.029)$				(0.012)			(0.029)
De facto independence 0.011 -0.110	De facto independence			0.011			-0.110
(0.044) $(0.112)$				(0.044)			(0.112)
Government military personnel 0.349 -2.518	Government military personnel			0.349			-2.518
(0.467) $(1.502)$				(0.467)			(1.502)
Number of pol. relevant groups -0.000 0.025	Number of pol. relevant groups			-0.000			
(0.001) $(0.031)$				(0.001)			(0.031)
Dispute FEs No Yes Yes Yes Yes	Dispute FEs	No	Yes	Yes	No	Yes	Yes
Peace years No Yes Yes No No No	Peace years	No	Yes	Yes	No	No	No
War years No No No Yes Yes	•						
Groups 280 280 280 73 73 73							
Countries 94 94 94 38 38 38		-					
Observations 7824 7819 7814 993 993 993  Note: All models include a constant (not shown) Standard errors clustered by country in parentheses EEs – fixed effects:							

Note: All models include a constant (not shown). Standard errors clustered by country in parentheses. FEs = fixed effects; GDP = gross domestic product; SD = self-determination; pol. = politically; term. = termination. p < 0.10, p < 0.05, p < 0.05, p < 0.01, p < 0.00.

#### 2 Survey Experiment

## 2.1 Vignette and Outcome Questions

The Scottish government is about to ask permission from the UK government to hold a second independence referendum. However, it remains unclear whether the UK government will agree to another referendum. You can help our research by imagining that the Scottish government organises another independence referendum next year.

Imagine that, as in 2014, the UK government agrees to the referendum. The referendum is therefore held with the consent of the UK government. // Imagine that, contrary to 2014, the UK government does not agree to the referendum. The referendum is therefore held without the consent of the UK government.

How fair would this referendum be? [Possible answers: 0 (very unfair) – 10 (very fair)]

Imagine that Scotland voted to become an independent country in the referendum we just described to you. How important do you think it would be to comply with the referendum outcome? [Possible answers: 0 (not important at all) -10 (very important)]

#### 2.2 Sample Descriptives

Table S6: Sample descriptives

	English	English &	Scottish	Scottish &
		unionist		separatist
Female	51%	49%	50%	47%
Age:				
18-29	37%	33%	34%	35%
30-44	37%	39%	32%	30%
45-59	19%	20%	23%	23%
60+	7%	8%	11%	12%
University degree	59%	63%	63%	64%
High political interest	40%	44%	53%	61%
Left-right position:				
Leftist	40%	37%	64%	77%
Centrist	29%	28%	22%	17%
Rightist	31%	35%	14%	7%
Observations	7687	3827	1355	889

*Note:* Political interest was measured using a three-point scale ranging from "not much" to "some" and "a good deal" of political interest. Subjects are coded as having high political interest if they indicated "a good deal". Left-right position was measured on a scale ranging from 0 (left) to 10 (right). Subjects are coded as leftist if they gave a score of 0-4, as a centrist if they gave a score of 5, and as a rightist if they gave a score of 6-10. Observations gives the total number of subjects in a given category, including subjects with missings on gender, age, etc.

#### 2.3 Robustness Checks

Table S7 shows the results when the analyses reported in the paper are repeated while adjusting for the following five covariates: age, gender, education, political interest, and general political orientation. Age is measured in years. Gender is measured using a female dummy and education using a university degree dummy. Political interest is measured using a three-point scale ranging from "not much" to "some" and "a good deal". Finally, general political orientation is measured on a scale ranging from 0 (left) to 10 (right). All covariates were measured pre-treatment. The results are very similar to those reported in the paper.

Table S8 repeats the analyses reported in the paper while dropping subjects who rushed through the tool in almost super-human speed. Speeders are defined as subjects who completed WhoGetsMyVoteUK in less than half of the average time (i.e., less than 499/2 = 249.5 seconds). Again, the results remain similar.

Finally, Table S9 repeats the analyses reported in the paper while applying a more lenient definition of ethnic identity. When filling in the *WhoGetsMyVoteUK* application, subjects were asked about the extent to which they consider themselves to be English or Scottish on a scale ranging from 0 (does not describe me at all) to 10 (describes me perfectly). In the paper, I applied a strict definition of ethnic identity and included only respondents who indicated that English/Scottish perfectly describes them. Table S9 reports the results when subjects instead gave a score of 5 or higher. The results are similar.

Table S7: Adding covariates

	Procedural fairness perceptions				Willingness to accept a Scottish vote for independence				
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
	English	English &	Scottish	Scottish &	English	English &	Scottish	Scottish &	
		unionist		separatist		unionist		separatist	
Mutually agreed referendum <sup>1</sup>	1.941***	$2.156^{***}$	1.169***	$0.699^{***}$	$1.968^{***}$	2.567***	1.042***	$0.343^{*}$	
	(0.079)	(0.109)	(0.196)	(0.194)	(0.076)	(0.111)	(0.169)	(0.133)	
Age	-0.006*	-0.007	0.005	$0.014^*$	-0.014***	-0.017***	-0.003	$0.011^{*}$	
	(0.003)	(0.004)	(0.007)	(0.007)	(0.003)	(0.004)	(0.006)	(0.005)	
Female	-0.175*	-0.080	-0.013	0.145	$0.488^{***}$	$0.650^{***}$	0.097	0.040	
	(0.082)	(0.114)	(0.206)	(0.201)	(0.079)	(0.116)	(0.178)	(0.138)	
University degree	0.126	$0.261^{*}$	-0.066	0.044	-0.466***	-0.488***	-0.002	-0.072	
	(0.084)	(0.117)	(0.215)	(0.216)	(0.080)	(0.120)	(0.186)	(0.149)	
Political interest	$0.125^{*}$	0.083	$0.667^{***}$	$0.373^{*}$	$0.174^{**}$	$0.216^{*}$	$0.272^{*}$	$0.253^{*}$	
	(0.059)	(0.084)	(0.156)	(0.165)	(0.056)	(0.085)	(0.135)	(0.114)	
Left-right	-0.319***	-0.308***	-0.517***	-0.170**	-0.078***	-0.064*	-0.398***	-0.101**	
	(0.019)	(0.028)	(0.052)	(0.056)	(0.018)	(0.028)	(0.044)	(0.038)	
Constant	5.466***	4.617***	6.491***	6.738***	6.685***	5.772***	8.536***	8.211***	
	(0.213)	(0.313)	(0.547)	(0.547)	(0.205)	(0.318)	(0.475)	(0.376)	
Observations	5530	2845	1005	676	5597	2871	1003	674	

<sup>1</sup>Reference category = referendum is unilaterally initiated by Scottish government.

Note: The table shows linear regression coefficients with standard errors in brackets.  $^+p < 0.10, ^*p < 0.05, ^{**}p < 0.01, ^{***}p < 0.001$ .

Table S8: Dropping speeders

	<u>Procedural fairness perceptions</u>				Willingness to accept a Scottish vote for independence			
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
	English	English &	Scottish	Scottish &	English	English &	Scottish	Scottish &
		unionist		separatist		unionist		separatist
Mutually agreed referendum <sup>1</sup>	1.919***	2.059***	1.123***	0.749***	1.882***	2.497***	1.065***	0.416**
	(0.076)	(0.105)	(0.200)	(0.191)	(0.071)	(0.105)	(0.160)	(0.126)
Constant	3.917***	3.113***	$6.227^{***}$	7.754***	6.265***	5.418***	7.713***	8.948***
	(0.053)	(0.074)	(0.143)	(0.136)	(0.051)	(0.075)	(0.114)	(0.090)
Observations	6483	3247	1181	768	6588	3271	1191	779

Reference category = referendum is unilaterally initiated by Scottish government.

Note: The table shows linear regression coefficients with standard errors in brackets. p < 0.10, p < 0.05, p < 0.01, p < 0.01.

Table S8: More lenient definitions of English and Scottish identity

v	, ,	Procedural fairn	ess perceptions		Willingness to accept a Scottish vote for independence				
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
	English	English &	Scottish	Scottish &	English	English &	Scottish	Scottish &	
		unionist		separatist		unionist		separatist	
Mutually agreed referendum <sup>1</sup>	1.843***	2.028***	1.261***	0.897***	1.831***	2.427***	1.139***	0.450***	
	(0.052)	(0.070)	(0.165)	(0.167)	(0.049)	(0.071)	(0.139)	(0.105)	
Constant	$4.140^{***}$	3.331***	5.728***	7.542***	6.203***	5.358***	$7.299^{***}$	$8.892^{***}$	
	(0.037)	(0.050)	(0.117)	(0.118)	(0.035)	(0.050)	(0.099)	(0.075)	
Observations	12935	6711	1841	1063	13074	6713	1836	1071	

<sup>1</sup> Reference category = referendum is unilaterally initiated by Scottish government.

Note: The table shows linear regression coefficients with standard errors in brackets.  $^+p < 0.10$ ,  $^*p < 0.05$ ,  $^{**}p < 0.01$ .

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